

# CA POLUTION CONTROL FINANCING AUTHORITY CAREER EXECUTIVE ASSIGNMENT EXAMINATION ANNOUNCEMENT

California State Government supports equal opportunity to all regardless of race, color, creed, national origin, ancestry, sex, marital status, disability, religious or political affiliation, age, sexual orientation, medical condition or pregnancy. It is an objective of the State of California to achieve a drug-free work place. Any applicant for state employment will be expected to behave in accordance with this objective because the use of illegal drugs is inconsistent with the law of the State, the rules governing Civil Service, and the special trust placed in public servants.

| DEPARTMENT:        | CA POLUTION CONTROL FINANCING AUTHORITY | RELEASE DATE:               | Friday, April 9, 2010                    |
|--------------------|---|-----------------------------|--|
| POSITION<br>TITLE: | DEPUTY EXECUTIVE DIRECTOR               | FINAL FILING DATE:          | Thursday, April 22, 2010 or until filled |
| CEA LEVEL:         | CEA 2                                   | EXTENDED FINAL FILING DATE: |  |
| SALARY<br>RANGE:   | \$ 7,815.00 - \$ 8,616.00 / Month       | BULLETIN ID:                | 04092010_3                               |

# POSITION DESCRIPTION

Under the broad administrative direction of the State Treasurer as chairman of the Financing Authorities and the Executive Director of CPCFA, and Executive Director of CIDFAC, CAEATFA and CUWARFA, provides policy, program and technical direction when issuing bonds. This position functions as the Deputy Executive Director of CPCFA, and the Acting Deputy Executive Director of CIDFAC, CAEATFA, and CUWARFA. In the absence of the Executive Director of CPCFA, CIDFAC, CAEATFA and CUWARFA respectively, functions as the Executive Director/Acting Executive Director making policy determinations in concert with the Chairman, participates in Executive Staff sessions, and advises the Chairman on all programs within the jurisdiction of all four Authorities. Exercises decision making authority in selecting the participants to be assigned as Senior manager and co-Managing Underwriters, bond counsel, underwriters counsel, outside trustee banks and other parties for each approved bond financing; and participating in and making decisions on the pricing and sale of all bonds issued by the Authorities.

On an on-going basis, is responsible for the initial credit analysis of all CPCFA, CIDFAC, CAEATFA and CUWARFA issued revenue bonds; advising the Authority members on whether the purchasers of the bonds and the credit rating of the State are protected; for continuing with the operation of various alternative programs to finance loans to small businesses; establishing policy for the new statutorily required programs for the development and implementation of policies and procedures to guide the program before undertaking any financing; developing and revising all state policy relating to the programs of the Authorities; reporting to the Legislature on new programs developed by the Authorities; and evaluating the performance data collected on the financing team used in reporting to the State Treasurer, the Governor, and the Legislature.

# MINIMUM QUALIFICATIONS

Applicants must meet the following minimum qualifications:

### Either I

Must be a State civil service employee with permanent civil service status or who previously had permanent status in the State civil service.

# Or II

Must be a current or former employee of the Legislature, with two or more consecutive years as defined in Government code § 18990.

# Or III

Must be a current or former non-elected exempt employee of the Executive Branch with two or more consecutive years (excluding those positions for which salaries are set by statute) as defined in Government Code § 18992.

### Or IV

Must be a person retired from the United States military, honorably discharged from active military duty with a service-connected disability, or honorably discharged from active duty as defined in Government Code § 18991.

# KNOWLEDGE AND ABILITIES

Applicants must demonstrate the ability to perform high administrative and policy – influencing functions effectively. Such overall ability requires possession of most of the following more specific knowledge and abilities:

- (1) Knowledge of the organization and functions of California State Government including the organization and practices of the Legislature and the Executive Branch; principles, practices, and trends of public administration, organization, and management; techniques of organizing and motivating groups; program development and evaluation; methods of administrative problem solving; principles and practices of policy formulation and development; and personnel management techniques; the department's or agency's Equal Employment Opportunity Program objectives; and a manager's role in the Equal Employment Opportunity Program.
- (2) Ability to plan, organize, and direct the work of multidisciplinary professional and administrative staff; analyze administrative policies, organization, procedures and practices; integrate the activities of a diverse program to attain common goals; gain the confidence and support of top level administrators and advise them on a wide range of administrative matters; develop cooperative working relationships with representatives of all levels of government, the public, and the Legislature and Executive branches; analyze complex problems and recommend effective courses of action; and prepare and review reports; and effectively contribute to the department's or agency's Equal Employment Opportunity objectives.

These knowledge and abilities are expected to be obtained from the following kinds of experience with substantial participation in the formulation, operation and/or evaluation of program policies (experience may have been paid or volunteer; in State service, other government settings, or in a private organization):

**CEA Level 1.** Supervisory/administrative experience in a line or staff activity, including the execution and/or evaluation of program policies.

**CEA Levels 2 and 3.** Broad administrative or program manager experience with substantial participation in the formulation, operation, and/or evaluation of program policies.

CEA Levels 4 and 5. Extensive managerial and program administrative experience which has included substantial responsibility for a combination of management functions such as program planning; policy formulation; organization coordination and control; and fiscal and personnel management. Where high technical professional qualifications are of primary importance in performing the duties of a given CEA position, then the above required experience may have been in a staff capacity exercising professional skills to influence and contribute to program, policy, and methods of providing those professional services. Primary examples are medical doctors and attorneys.

# **DESIRABLE QUALIFICATION(S)**

- Be an expert in all aspects of revenue bond financing, including a working knowledge of the roles and responsibilities of issuers, bond counsel, underwriters, trustee banks, financing advisors, feasibility consultants, participating borrowers and other major parties, and be able to direct the marketing of the functions and financing programs available through the Authorities.
- Have detailed knowledge of the municipal securities market, including public and private sales, competitive and negotiated transactions, underwriter spreads and components of bond costs of issuance, and all other factors related to bond sales to effectively participate in the pricing and sale of bonds issued through the Authorities.
- Understand audited and interim financial statements relating to economic expansion and environmental improvement financing and be able to suggest and discuss operational changes which may be necessary to meet financial covenants in the loan agreements of participating institutions.
- Understand and evaluate Feasibility Studies prepared for pollution and industrial development and other financing programs expansion, renovation, acquisition and refinancing projects.
- Understand business and project financing concepts utilized by commercial banks and other credit enhancers.
- Recognize and be knowledgeable in Federal and State regulations on tax-exempt bond financing and new or proposed legislation regarding changes to issuing and reporting requirements on municipal debt, such as recent requirements for on-going disclosure of material events by a borrower to the municipal marketplace.
- Be knowledgeable in the State legislative process and have the ability to meet with legislators and their staff on issues critical to the economic expansion and environment improvement bonds and their operations, testify before committee hearings and prepare written correspondence on bill analyses, inquiries and other issues.
- The ability to represent the Authorities at the National Council of Industrial Development Financing Authorities, the California Local Economic Development Authorities and other member associations.
- The ability to represent the Executive Director, the Board Members, and the State Treasurer in a

credible manner before the Legislature, the general public, media and local elected and appointed officials.

- The ability to execute the complex duties of the position in a highly sensitive, critical, continuously evolving and often ambiguous environment.
- The ability to gain the confidence and support of high level public and private agency officials and representatives, and advise them on a variety of matters pertaining to CPCFA, CIDFAC, CAEATFA and CUWARFA.
- The ability to recognize political implications of actions by the Authorities and staff, work well with other individuals under tight deadlines, and oversee the management of the Authorities' staff.

# **EXAMINATION INFORMATION**

A minimum rating of 70% must be attained to obtain list eligibility. Hiring interviews may be conducted with the most qualified candidates. All candidates will receive written notification of their examination results. The result of this examination will be used only to fill the position of **DEPUTY EXECUTIVE DIRECTOR**, with the **CA POLUTION CONTROL FINANCING AUTHORITY**. Applications will be retained for twelve months.

The results of this examination will be used only to fill this position.

The examination will consist of an application screening process conducted by a departmental evaluation committee of the candidates State of Qualifications. The committee will screen applications on the basis of background and good management potential, as well as, on the desirable qualifications Those individuals considered most qualified for the position may be interviewed.

# FILING INSTRUCTIONS

# **Interested applicants must submit:**

- A completed Standard State Application (Form 678).
- A "Statement of Qualifications". The Statement is a narrative discussion of how the candidate's education, training, experience, and skills meet the minimum and desirable qualifications and qualify them for the position. The Statement of Qualifications serves as a documentation of each candidate's ability to present information clearly and concisely in writing and should be typed and no more than two pages in length.
- Resumes do not take the place of the Statement of Qualifications.

# Applications must be submitted by the final filing date to:

CA POLUTION CONTROL FINANCING AUTHORITY, State Treasurer's Office 915 Capitol Mall, Suite 538, Sacramento, CA 95814 Kimberly Dean | 916-653-3344 | kdean@treasurer.ca.gov

# **SPECIAL TESTING**

If you have a disability and need special testing arrangements, mark the appropriate box in Part 2 of the "Examination Application." You will be contacted to make specific arrangements.

# GENERAL INFORMATION

If you meet the requirements stated in this bulletin, you may take this examination, which is

competitive. Possession of the entrance requirements does not assure a place on the eligible list. Your performance in the examination described on this bulletin will be compared with the performance of others who take this test, and all candidates who pass will be ranked according to their scores.

The CA POLUTION CONTROL FINANCING AUTHORITY reserves the right to revise the examination plan to better meet the needs of the service if the circumstances under which this examination was planned change. Such revision will be in accordance with civil service law and rules and all competitors will be notified.

**General Qualifications:** Candidates must possess essential personal qualifications including integrity, initiative, dependability, good judgment, and ability to work cooperatively with others.

Class specs: <a href="http://www.dpa.ca.gov/textdocs/specs/s7/s7500.txt">http://www.dpa.ca.gov/textdocs/specs/s7/s7500.txt</a>